



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JEFF STEVEN EDGETT, ET AL.

Application No.: 10/821,313

Filed: April 8, 2004

For: **Method and System for Verifying the  
Configuration of an Access Device**

Art Group: 2131

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7-29-04

Nathan P. Elder  
Nathan P. Elder, Reg. No. 55,150

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

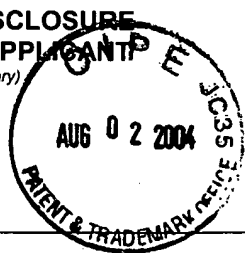
Dawn Shaw  
Dawn Shaw

7-29-04  
Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT\***

(Use as many sheets as necessary)



Complete if Known

Application Number	10/821,313
Filing Date	April 8, 2004
First Named Inventor	Edgett, Jeff
Group Art Unit	2131
Examiner Name	Unknown

Sheet 1 of 3

Attorney Docket No: 4663P017

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-20020029275	03/07/2002	Selgas, Thomas D., et al.			06/19/1998
	US-20020114346	08/22/2002	Lampe, Steve C., et al.			02/21/2001
	US-5,202,921	04/13/1993	Herzberg, Amir , et al.			04/01/1991
	US-5,331,574	07/19/1994	Temoshenko, Leo , et al.			08/06/1991
	US-5,412,723	05/02/1995	Canetti, Ran , et al.			03/01/1994
	US-5,446,680	08/29/1995	Sekiya, Jeffrey G., et al.			07/13/1994
	US-5,497,421	03/05/1996	Kaufman, Charles W., et al.			09/28/1994
	US-5,606,663	02/25/1997	Kadooka, Etsuko			11/15/1994
	US-5,611,048	03/11/1997	Jacobs, Dwayne C., et al.			05/09/1994
	US-5,638,514	06/10/1997	Yoshida, Kojun , et al.			08/30/1993
	US-5,726,883	03/10/1998	Levine, Jonathan D., et al.			10/10/1995
	US-5,781,189	07/14/1998	Holleran, Patrick A., et al.			05/05/1995
	US-5,793,952	08/11/1998	Limsico, Carl			05/17/1996
	US-5,815,665	09/29/1998	Teper, Jeffrey A., et al.			04/03/1996
	US-5,832,228	11/03/1998	Holden, James M., et al.			07/30/1996
	US-5,845,267	12/01/1998	Ronen, Yzhak			09/06/1996
	US-5,852,812	12/22/1998	Reeder, Mary			08/23/1995
	US-5,892,900	04/06/1999	Ginter, Karl L., et al.			08/30/1996
	US-6,023,470	02/08/2000	Lee, Warren S., et al.			05/17/1996
	US-6,023,502	02/08/2000	Bouanaka, Hansali , et al.			10/30/1997
	US-6,026,375	02/15/2000	Hall, Anthony D., et al.			12/05/1997
	US-6,028,917	02/22/2000	Creamer, Thomas E., et al.			04/04/1997
	US-6,029,143	02/22/2000	Mosher, Michael S., et al.			06/06/1997
	US-6,032,132	02/29/2000	Nelson, Nickolas B.			06/12/1998
	US-6,032,137	02/29/2000	Ballard, Claudio R.			05/19/1998

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	10/821,313
<b>Filing Date</b>	April 8, 2004
<b>First Named Inventor</b>	Edgett, Jeff
<b>Group Art Unit</b>	2131
<b>Examiner Name</b>	Unknown

Sheet 2 of 3

Attorney Docket No: 4663P017

	US-6,035,281	03/07/2000	Crosskey, James P., et al.		06/16/1997
	US-6,047,051	04/04/2000	Ginzboorg, Philip , et al.		06/24/1997
	US-6,094,721	07/25/2000	Eldridge, Alan D., et al.		10/31/1997
	US-6,112,239	08/29/2000	Kenner, Brian		06/18/1997
	US-6,125,354	09/26/2000	MacFarlane, Lloyd , et al.		03/30/1998
	US-6,128,601	10/03/2000	Van Horne, Peter , et al.		03/02/1998
	US-6,157,618	12/05/2000	Boss, Jerome D., et al.		01/26/1999
	US-6,167,126	12/26/2000	Janning, William J.		11/04/1998
	US-6,175,869	01/16/2001	Ahuja, Sudhir R., et al.		04/08/1998
	US-6,188,994	02/13/2001	Egendorf, Andrew		04/08/1998
	US-6,189,096	02/13/2001	Haverty, Rand		08/06/1998
	US-6,198,824	03/06/2001	Shambroom, W. D.		05/11/1999
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	US-6,212,280	04/03/2001	Howard, Jr., James L., et al.		10/21/1999
	US-6,216,117	04/10/2001	Hall, Eric P.		09/21/1998
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	US-6,269,401	07/31/2001	Fletcher, Richard A., et al.		08/28/1998
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	US-6,405,028	06/11/2002	DePaola, John J., et al.		12/08/1999
	US-6,510,463	01/21/2003	Farhat, Jay , et al.		12/07/2000
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	US-6,522,884	02/18/2003	Tennison, Lynden L., et al.		02/21/2001
	US-6,549,770	04/15/2003	Marran, Nadine		05/26/2000
	US-6,578,075	06/10/2003	Niemenen, Mika P., et al.		02/24/1999
	US-6,628,775	09/30/2003	Van Tol, Alphonsus J.		04/29/1999
	US-6,640,242	10/28/2003	O'Neal, Stephen C., et al.		01/29/1999

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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<b>Application Number</b>	10/821,313
<b>Filing Date</b>	April 8, 2004
<b>First Named Inventor</b>	Edgett, Jeff
<b>Group Art Unit</b>	2131
<b>Examiner Name</b>	Unknown

Sheet 3 of 3

Attorney Docket No: 4663P017

	US-6,687,560	02/03/2004	Kiser, Charles H., et al.			11/13/2001
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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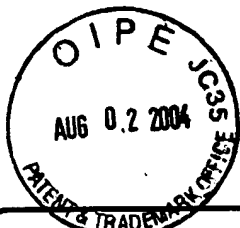
**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached



1FW

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/821,313
		Filing Date	April 8, 2004
		First Named Inventor	Jeff Steven Edgett
		Art Unit	2131
		Examiner Name	Unassigned
Total Number of Pages in This Submission	8	Attorney Docket Number	4663P017

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Return Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nathan P. Elder, Reg. No. 55,150 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Nathan P. Elder</i>
Date	7-29-04

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Dawn Shaw		
Signature	<i>Dawn Shaw</i>	Date	7-29-04



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

Application Number 10/821,313  
Filing Date April 8, 2004  
First Named Inventor Jeff Steven Edgett  
Examiner Name Unassigned  
Art Unit 2131  
Attorney Docket No. 4663P017

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					0.00
SUBTOTAL (3)					(\$) 0.00

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Nathan R. Elder Registration No. (Attorney/Agent) 55,150 Telephone (408) 947-8200  
Signature *Nathan R. Elder* Date 7-29-04